

09/19/01

09-20-01

1/1

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new non-provisional applications under 37 CFR 1.53(b))		Attorney Docket No. 09792909-5187																															
		First Named Inventor or Application Identifier Shuzo Sato																															
		Express Mail Label No: EL834557452US																															
ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231																																	
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.		ACCOMPANYING APPLICATION PARTS																															
1. <input checked="" type="checkbox"/> Specification Total Pages <u>147</u> 2. <input checked="" type="checkbox"/> Drawing(s) (35USC 113) Total Pages <u>33</u> 3. <input checked="" type="checkbox"/> Declaration and Power of Attorney Total Pages <u>3</u> a. <input checked="" type="checkbox"/> Unexecuted(original or copy) b. <input type="checkbox"/> Copy from prior application (37CFR 1.63(d)) (for continuation/divisional with Box 14 completed) [Note Box 4 Below] i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). <input type="checkbox"/> Incorporation by Reference (usable if Box 3b is checked) The entire disclosure of the prior application, from which copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.		5. <input type="checkbox"/> Assignment Papers (cover sheet & documentation) 6. <input checked="" type="checkbox"/> Letter under 37 CFR 1.41(c). 7. <input type="checkbox"/> English Translation Document to follow (if applicable) 8. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 9. <input checked="" type="checkbox"/> Preliminary Amendment 10. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically Itemized) 11. <input type="checkbox"/> Small Entity <input type="checkbox"/> Statement filed in prior Application, Statement(s) Status still proper and desired 12. <input checked="" type="checkbox"/> Certified copy of Japanese priority document No. P2000-284260 filed September 19, 2000.																															
14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) <input type="checkbox"/> of prior application No:																																	
CLAIMS AS FILED <table border="1"> <thead> <tr> <th></th> <th>(1) FOR</th> <th>(2) NUMBER FILED</th> <th>(3) NUMBER EXTRA</th> <th>(4) RATE</th> <th>(5) BASIC FEE \$710.00</th> </tr> </thead> <tbody> <tr> <td>TOTAL CLAIMS</td> <td></td> <td>91</td> <td>71</td> <td>\$18.00</td> <td>1,278.00</td> </tr> <tr> <td>INDEPENDENT CLAIMS</td> <td></td> <td>6</td> <td>3</td> <td>80.00</td> <td>240.00</td> </tr> <tr> <td></td> <td colspan="3">ANY MULTIPLE DEPENDENT CLAIMS? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO</td> <td>270.00</td> <td>0.00</td> </tr> <tr> <td colspan="4">TOTAL FEE</td> <td></td> <td>\$2,228.00</td> </tr> </tbody> </table>					(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$710.00	TOTAL CLAIMS		91	71	\$18.00	1,278.00	INDEPENDENT CLAIMS		6	3	80.00	240.00		ANY MULTIPLE DEPENDENT CLAIMS? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO			270.00	0.00	TOTAL FEE					\$2,228.00
	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$710.00																												
TOTAL CLAIMS		91	71	\$18.00	1,278.00																												
INDEPENDENT CLAIMS		6	3	80.00	240.00																												
	ANY MULTIPLE DEPENDENT CLAIMS? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO			270.00	0.00																												
TOTAL FEE					\$2,228.00																												
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed. <input checked="" type="checkbox"/> A check in the amount of <u>\$2,228.00</u> to cover the filing fee is enclosed.																																	

15. CORRESPONDENCE ADDRESS: Sonnenschein, Nath & Rosenthal
 P.O. Box #061080
 Wacker Drive Station - Sears Tower
 Chicago, IL 60606
 Telephone 312/876-8000
 Customer ID #26263

SIGNATURE

David R. Metzger (Reg. No. 32,919)

Date: September 19, 2001

U-11

EXPRESS MAIL #EL834557452US

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number: EL834557452US

Date of Deposit: September 19, 2001

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Applicant(s): Shuzo Sato, Yuji Segawa, Akira Yoshio and Takeshi Nogami

Attorney Docket No. 09792909-5187

\$2,228.00 filing fee (91 claims - 6 independent)

Drawings (33 sheets - Figs. 1A-39)


Unexecuted Declaration

Letter Under 37 C.F.R. §1.41(c)

Preliminary Amendment

Certified copy of Japanese priority document No. P2000-284260

Return postcard



Signature of Person Mailing Application and Fees

David R. Metzger
312/876-2578

8000 Sears Tower
233 South Wacker Drive
Chicago, IL 60606
312.876.8000
312.876.7934 fax
www.sonnenschein.com

Chicago
Kansas City
Los Angeles
New York
San Francisco
St. Louis
Washington, D.C.
West Palm Beach

September 19, 2001

Assistant Commissioner of Patents
Washington, D.C. 20231

RE: New U.S. Application for Letters Patent entitled
"POLISHING METHOD, POLISHING APPARATUS, PLATING METHOD,
AND PLATING APPARATUS"
Applicant(s) Shuzo Sato, et al.
Attorney Docket No. 09792909-5187

Dear Sir:

Under the provisions of 37 C.F.R. §1.41(c), I am filing the attached application,
including 91 claims (6 independent), 33 sheets of drawings (Figs. 1A-39) and the filing fee of
\$2,228.00 on behalf of

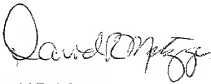
SHUZO SATO, YUJI SEGAWA, AKIRA YOSHIO and
TAKESHI NOGAMI

and request that the application be assigned a serial number and filing date pursuant to the
provisions of 37 C.F.R. §1.53(b) and 37 C.F.R. §1.53(d).

Very truly yours,

SONNENSCHN NATH & ROSENTHAL

By:


David R. Metzger

DVM:kat
Enclosures